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| PTO-1449 Rev. 1-97<br>Dept. of Commerce<br>(REG. & TRADEMARK OFFICE)<br><br>INFORMATION DISCLOSURE STATEMENT<br><br>(Use several sheets if necessary) | ATTY DOCKET NO.<br>2206-006C       | APPLICATION NO.<br>09/929,478 |
|   | APPLICANT<br>Morton M. MOWER, M.D. |                               |
|   | FILING DATE<br>August 14, 2001     | GROUP<br>3762                 |

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE    | NAME                    | CLASS | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----------------|---------|-------------------------|-------|--------------|-------------------------------|
| SG                  | 3,924,641       | 12/1975 | Weiss                   |       |              |                               |
|                     | 3,946,745       | 1/1976  | Siang-Lai <i>et al.</i> |       |              |                               |
|                     | 4,019,519       | 4/1977  | Geerling                |       |              |                               |
|                     | 4,055,190       | 10/1977 | Tany                    |       |              |                               |
|                     | 4,222,386       | 9/1980  | Smolnikov <i>et al.</i> | 607   | 9            |                               |
|                     | 4,233,986       | 11/1980 | Tannenbaum              |       |              |                               |
|                     | 4,298,007       | 11/1981 | Wright <i>et al.</i>    |       |              |                               |
|                     | 4,327,322       | 4/1982  | Yukl                    |       |              |                               |
|                     | 4,343,312       | 8/1982  | Cals <i>et al.</i>      | 128   | 419          |                               |
| V                   | 4,392,496       | 7/1983  | Stanton                 |       |              |                               |

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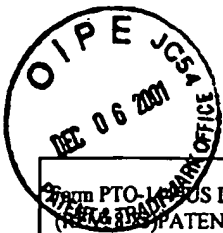
|    | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION |    |
|----|-----------------|---------|---------|-------|--------------|-------------|----|
|    |                 |         |         |       |              | YES         | NO |
| SG | 0 813 889 A2    | 12/1997 | EP      |       |              |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

|    |   |
|----|---|
| SG | Guyton, Textbook of Medical Physiology, 8th Edition, Chapter 9, pp. 98-99, 1991.  |
| SG | Brian G. Cleland, "A Conceptual Basis for Pacing Waveforms," Pace, vol. 19, 1177-1185 (Aug. 1996).  |
| SG | Allen M. Greenspan, M.D., "Electrophysiology of Pacing," 29-35, Ideal Cardiac Pacing, vol. 37 in the Series, Major Problems in Clinical Surgery (1984). |

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| EXAMINER<br>S. G. | DATE CONSIDERED<br>11/27/02 |
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| Form PTO-100 US Dept. of Commerce<br>PATENT & TRADEMARK OFFICE<br><br>INFORMATION DISCLOSURE STATEMENT<br>(Use several sheets if necessary) | ATTY DOCKET NO.<br>2206-006C       | APPLICATION NO.<br>09/929,478 |
|   | APPLICANT<br>Morton M. MOWER, M.D. |                               |
|   | FILING DATE<br>August 14, 2001     | GROUP<br>3762                 |

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE    | NAME                   | CLASS | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----------------|---------|------------------------|-------|--------------|-------------------------------|
| S6                  | 4,402,322       | 9/1983  | Duggan                 |       |              |                               |
|                     | 4,429,697       | 2/1984  | Nappholz <i>et al.</i> |       |              |                               |
|                     | 4,444,195       | 4/1984  | Gold                   |       |              |                               |
|                     | 4,456,012       | 6/1984  | Lattin                 |       |              |                               |
|                     | 4,498,478       | 2/1985  | Bourgeois              |       |              |                               |
|                     | 4,539,991       | 9/1985  | Boute <i>et al.</i>    |       |              |                               |
|                     | 4,543,956       | 10/1985 | Herscovici             |       |              |                               |
|                     | 4,569,350       | 2/1986  | Mumford <i>et al.</i>  |       |              |                               |
|                     | Re. 32,091      | 3/1986  | Stanton                |       |              |                               |
| ✓                   | 4,612,934       | 9/1986  | Borkan                 |       |              |                               |

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|    | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION |    |
|----|-----------------|---------|---------|-------|--------------|-------------|----|
|    |                 |         |         |       |              | YES         | NO |
| S6 | 0 870 516       | 10/1998 | EP      |       |              |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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| S6 | Brian F. Hoffman, M.D., and Paul F. Carnefield, M.D., Electrophysiology of the Heart, 220-222 (1976).   |
| Sf | Bradley J. Roth, Ph.D., "Strength-Internal Curves for Cardiac Tissue Predicted Using the Bidomain Model,"<br>Journal of Cardiovascular Electrophysiology, vol. 7, No. 8, 722-737 (Aug. 1996). |
| S6 | Harold Siddons and Edgar Sowton, "Cardiac Pacemakers," 152-154.   |

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|---------------------|-----------------|---------|-----------------------|-------|--------------|-------------------------------|
| SG                  | 4,637,397       | 1/1987  | Jones <i>et al.</i>   | 128   | 419          |                               |
|                     | 4,646,744       | 3/1987  | Capel                 |       |              |                               |
|                     | 4,723,552       | 2/1988  | Kenyon <i>et al.</i>  |       |              |                               |
|                     | 4,754,759       | 7/1988  | Allocca               |       |              |                               |
|                     | 4,781,194       | 11/1988 | Elmqvist              |       |              |                               |
|                     | 4,821,724       | 4/1989  | Whigham <i>et al.</i> | 607   | 13           |                               |
|                     | 4,823,810       | 4/1989  | Dervieux              |       |              |                               |
|                     | 4,875,484       | 10/1989 | Anzai <i>et al.</i>   |       |              |                               |
|                     | 4,903,700       | 2/1990  | Whigham <i>et al.</i> | 128   | 419          |                               |
| ✓                   | 4,919,140       | 4/1990  | Borgens <i>et al.</i> |       |              |                               |

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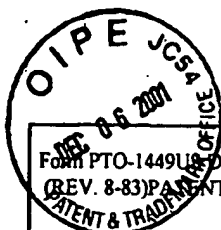
|    | DOCUMENT NUMBER | DATE   | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION |    |
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|    |                 |        |         |       |              | YES         | NO |
| SG | 0 850 662       | 7/1998 | EP      |       |              |             |    |

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| SG | Estes <i>et al.</i> , Implantable Cardioverter-Defibrillators, p. 181 (1994).   |
| SG | Ravazzi <i>et al.</i> , Changes Induced in Ventricular Activator Using Non-Standard Pacing Pulse Morphologies at Different Right Septal Sites (1998). |
| SG | Ravazzi <i>et al.</i> , Ventricular Pacing Threshold Improvement Using Non-Standard Pacing Pulse Morphologies at Different Right Septal Sites (1998). |

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# INFORMATION DISCLOSURE STATEMENT

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ATTY DOCKET NO.  
2206-006C

APPLICATION NO.  
09/929,478

APPLICANT  
Morton M. MOWER, M.D.

FILING DATE  
August 14, 2001

GROUP  
3762

## U.S. PATENT DOCUMENTS

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|---------------------|-----------------|---------|-----------------------|-------|--------------|-------------------------------|
| SG                  | 4,924,880       | 5/1990  | O'Neill <i>et al.</i> |       |              |                               |
|                     | 4,940,054       | 7/1990  | Grevis <i>et al.</i>  |       |              |                               |
|                     | 4,944,298       | 7/1990  | Sholder               |       |              |                               |
|                     | 4,976,264       | 12/1990 | Petrofsky             |       |              |                               |
|                     | 4,989,605       | 2/1991  | Rossen                |       |              |                               |
|                     | 4,996,987       | 3/1991  | Petrofsky             |       |              |                               |
|                     | 5,018,522       | 5/1991  | Mehra                 | 607   | 10           |                               |
|                     | 5,027,815       | 7/1991  | Funke <i>et al.</i>   |       |              |                               |
|                     | 5,036,850       | 8/1991  | Owens                 |       |              |                               |
|                     | 5,048,522       | 9/1991  | Petrofsky             |       |              |                               |

## FOREIGN PATENT DOCUMENTS

|    | DOCUMENT NUMBER | DATE   | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION |    |
|----|-----------------|--------|---------|-------|--------------|-------------|----|
|    |                 |        |         |       |              | YES         | NO |
| SG | 0 491 649 B1    | 9/1996 | EP      |       |              |             |    |

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|----|---|
| SG | Prochaczek <i>et al.</i> , Transcutaneous Ventricular Pacing of the Human Heart with Increased<br>Overthreshold Energy; Hemodynamic Effects (1998). |
| SG | Kutarski <i>et al.</i> , Cathode or Anode in Coronary Sinus (CS) in Pts With Daubert's BiA Pacing System (1998).                                    |
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|---------------------|--------------------|---------|---------------------------|-------|--------------|------------------------------------|
| SG                  | 5,052,391          | 10/1991 | Silberstone <i>et al.</i> |       |              |                                    |
|                     | 5,058,584          | 10/1991 | Bourgeois                 |       |              |                                    |
|                     | 5,063,929          | 11/1991 | Bartelt <i>et al.</i>     |       |              |                                    |
|                     | 5,065,083          | 11/1991 | Owens                     |       |              |                                    |
|                     | 5,069,211          | 12/1991 | Bartelt <i>et al.</i>     |       |              |                                    |
|                     | 5,083,564          | 1/1992  | Scherlag                  |       |              |                                    |
|                     | 5,097,833          | 3/1992  | Campos                    |       |              |                                    |
|                     | 5,105,810          | 4/1992  | Collins <i>et al.</i>     |       |              |                                    |
|                     | 5,109,847          | 5/1992  | Liss <i>et al.</i>        |       |              |                                    |
|                     | 5,111,811          | 5/1992  | Smits                     |       |              |                                    |

## FOREIGN PATENT DOCUMENTS

|     | DOCUMENT NUM-<br>BER | DATE    | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION |    |
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|     |                      |         |         |       |              | YES         | NO |
| SG. | 0 600 631            | 12/1999 | EP      |       |              |             |    |

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|---------------------|--------------------|---------|------------------------|-------|--------------|------------------------------------|
| 56                  | 5,117,826          | 6/1992  | Bartelt <i>et al.</i>  |       |              |                                    |
|                     | 5,163,429          | 11/1992 | Cohen                  |       |              |                                    |
|                     | 5,181,511          | 1/1993  | Nickolls <i>et al.</i> |       |              |                                    |
|                     | 5,193,535          | 3/1993  | Bardy <i>et al.</i>    |       |              |                                    |
|                     | 5,209,229          | 5/1993  | Gilli                  |       |              |                                    |
|                     | 5,213,098          | 5/1993  | Bennett <i>et al.</i>  |       |              |                                    |
|                     | 5,215,083          | 6/1993  | Drane <i>et al.</i>    |       |              |                                    |
|                     | 5,224,475          | 7/1993  | Berg <i>et al.</i>     |       |              |                                    |
|                     | 5,224,476          | 7/1993  | Ideker <i>et al.</i>   | 128   | 419          |                                    |
| ✓                   | 5,233,985          | 8/1993  | Hudrik                 |       |              |                                    |

## FOREIGN PATENT DOCUMENTS

|    | DOCUMENT NUM-<br>BER | DATE   | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION |    |
|----|----------------------|--------|---------|-------|--------------|-------------|----|
|    |                      |        |         |       |              | YES         | NO |
| 56 | 93/01861             | 2/1993 | WO      |       |              |             |    |

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|  | APPLICANT<br>Morton M. MOWER, M.D. |                               |
|  | FILING DATE<br>August 14, 2001     | GROUP<br>3762                 |

## U.S. PATENT DOCUMENTS

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|---------------------|--------------------|--------|-----------------------|-------|--------------|------------------------------------|
| SG                  | 5,300,096          | 4/1994 | Hall <i>et al.</i>    | 607   | 48           |                                    |
|                     | 5,314,423          | 5/1994 | Seney                 | 606   | 20           |                                    |
|                     | 5,314,495          | 5/1994 | Kovacs                | 623   | 25           |                                    |
|                     | 5,332,401          | 7/1994 | Davey <i>et al.</i>   | 607   | 116          |                                    |
|                     | 5,334,220          | 8/1994 | Sholder               |       |              |                                    |
|                     | 5,340,361          | 8/1994 | Sholder               |       |              |                                    |
|                     | 5,350,401          | 9/1994 | Levine                |       |              |                                    |
|                     | 5,391,185          | 2/1995 | Kroll                 | 607   | 4            |                                    |
|                     | 5,411,525          | 5/1995 | Swanson <i>et al.</i> | 607   | 5            |                                    |
|                     | 5,411,547          | 5/1995 | Causey, III           | 607   | 129          |                                    |

## FOREIGN PATENT DOCUMENTS

|    | DOCUMENT NUM-<br>BER | DATE   | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION |    |
|----|----------------------|--------|---------|-------|--------------|-------------|----|
|    |                      |        |         |       |              | YES         | NO |
| SG | 97/25098             | 7/1997 | WO      |       |              |             |    |

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## APPLICANT

Morton M. MOWER, M.D.

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|---------------------|--------------------|---------|------------------------|-------|--------------|------------------------------------|
| 56                  | 5,421,830          | 6/1995  | Epstein <i>et al.</i>  | 607   | 30           |                                    |
|                     | 5,422,525          | 6/1995  | Mansir                 |       |              |                                    |
|                     | 5,423,868          | 6/1995  | Nappholz <i>et al.</i> |       |              |                                    |
|                     | 5,441,522          | 8/1995  | Schuller               |       |              |                                    |
|                     | 5,458,625          | 10/1995 | Kendall                | 607   | 46           | May 4, 1994                        |
|                     | 5,468,254          | 11/1995 | Hahn <i>et al.</i>     | 607   | 5            | July 26, 1993                      |
|                     | 5,480,413          | 1/1996  | Greenhut <i>et al.</i> | 607   | 14           | Nov. 3, 1994                       |
|                     | 5,487,759          | 1/1996  | Bastyr <i>et al.</i>   | 607   | 149          | June 14, 1993                      |
|                     | 5,507,781          | 4/1996  | Kroll <i>et al.</i>    | 607   | 7            | Dec. 31, 1992                      |
| ✓                   | 5,514,161          | 5/1996  | Limousin               | 607   | 9            | Apr. 4, 1995                       |

## FOREIGN PATENT DOCUMENTS

|    | DOCUMENT NUM-<br>BER | DATE    | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION |    |
|----|----------------------|---------|---------|-------|--------------|-------------|----|
|    |                      |         |         |       |              | YES         | NO |
| 56 | 0600631 A2           | 11/1993 | EP      |       |              |             |    |

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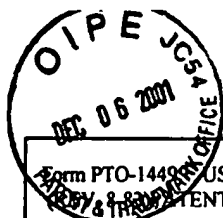
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PATENT & TRADEMARK OFFICE

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Morton M. MOWER, M.D.

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|---------------------|--------------------|---------|--------------------------|-------|--------------|------------------------------------|
| SP                  | 5,514,163          | 5/1996  | Markowitz <i>et al.</i>  |       |              | Feb. 21, 1995                      |
|                     | 5,522,858          | 6/1996  | van der Veen             | 607   | 14           | Oct. 26, 1994                      |
|                     | 5,527,347          | 6/1996  | Shelton <i>et al.</i>    |       |              | Feb. 21, 1995                      |
|                     | 5,534,015          | 7/1996  | Kroll <i>et al.</i>      | 607   | 7            | Oct. 11, 1994                      |
|                     | 5,534,018          | 7/1996  | Wahlstrand <i>et al.</i> | 607   | 27           | Nov. 30, 1994                      |
|                     | 5,545,186          | 8/1996  | Olson <i>et al.</i>      |       |              | Mar. 30, 1995                      |
|                     | 5,562,708          | 10/1996 | Combs <i>et al.</i>      | 607   | 4            | Apr. 21, 1994                      |
|                     | 5,601,608          | 2/1997  | Mouchawar                | 607   | 5            | Feb. 2, 1995                       |
|                     | 5,620,470          | 4/1997  | Gliner <i>et al.</i>     | 607   | 5            | Aug. 6, 1993                       |
|                     | 5,620,471          | 4/1997  | Duncan                   | 607   | 14           | June 16, 1995                      |

## FOREIGN PATENT DOCUMENTS

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| SG | 0491649 A2           | 6/1992 | EP      |       |              |             |    |

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|---------------------|--------------------|--------|------------------------|-------|--------------|------------------------------------|
| SG                  | 5,626,620          | 5/1997 | Kieval <i>et al.</i>   |       |              | Feb. 21, 1995                      |
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|                     | 5,662,698          | 7/1997 | Altman <i>et al.</i>   | 607   | 123          | Dec. 6, 1995                       |
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FOREIGN PATENT DOCUMENTS

|    | DOCUMENT NUM-<br>BER | DATE    | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION |    |
|----|----------------------|---------|---------|-------|--------------|-------------|----|
|    |                      |         |         |       |              | YES         | NO |
| SG | 2763247              | 11/1998 | FR      |       |              |             |    |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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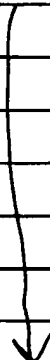
EXAMINER

S.G.

DATE CONSIDERED

11/27/02

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|---|--|-----------------|---------|---|------------------------------------|----------------------|----------------------------|----|
|   |  |                 |         | <b>APPLICANT</b><br>Morton M. MOWER, M.D. |                                    |                      |                            |    |
|   |  |                 |         | <b>FILING DATE</b><br>August 14, 2001     |                                    | <b>GROUP</b><br>3762 |                            |    |
| <b>U.S. PATENT DOCUMENTS</b>  |  |                 |         |   |                                    |                      |                            |    |
| EXAMINER INITIAL  |  | DOCUMENT NUMBER | DATE    | NAME                                      | CLASS                              | SUB CLASS            | FILING DATE IF APPROPRIATE |    |
| S.G.<br>   |  | 5,855,594       | 1/1999  | Olive <i>et al.</i>                       |                                    |                      | Aug. 8, 1997               |    |
|   |  | 5,871,506       | 2/1999  | Mower                                     | 607                                | 9                    |                            |    |
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|   |  | 6,136,019       | 10/2000 | Mower                                     | 607                                | 9                    |                            |    |
|   |  | 6,141,586       | 10/2000 | Mower                                     | 607                                | 9                    |                            |    |
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|   |  | DOCUMENT NUMBER | DATE    | COUNTRY                                   | CLASS                              | SUB CLASS            | TRANSLATION                |    |
|   |  |                 |         |   |                                    |                      | YES                        | NO |
|   |  |                 |         |   |                                    |                      |                            |    |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |  |                 |         |   |                                    |                      |                            |    |
|   |  |                 |         |   |                                    |                      |                            |    |
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